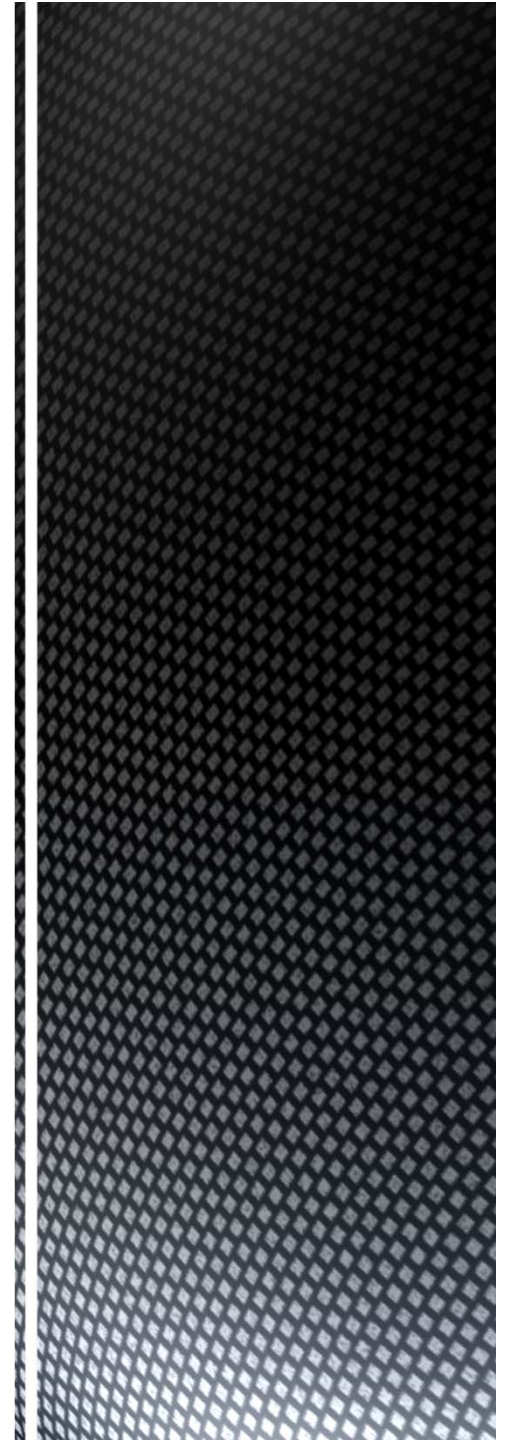


Monthly Compliance Meeting

September 11, 2013



Today's Agenda

- **Announcements**
- **How to Report a Violation**
- **Personnel**
- **Official Visits**
- **Unattached Competition**



Jo's Announcements

- **Finish fall paperwork (off-campus housing/vehicle/employment) ASAP!**
- **Official Visits – communicate with Jo about upcoming visits & Julie about parade of recruits at FB games.**
- **Complimentary Admissions requests to go ticket office.**

Kris' Announcements

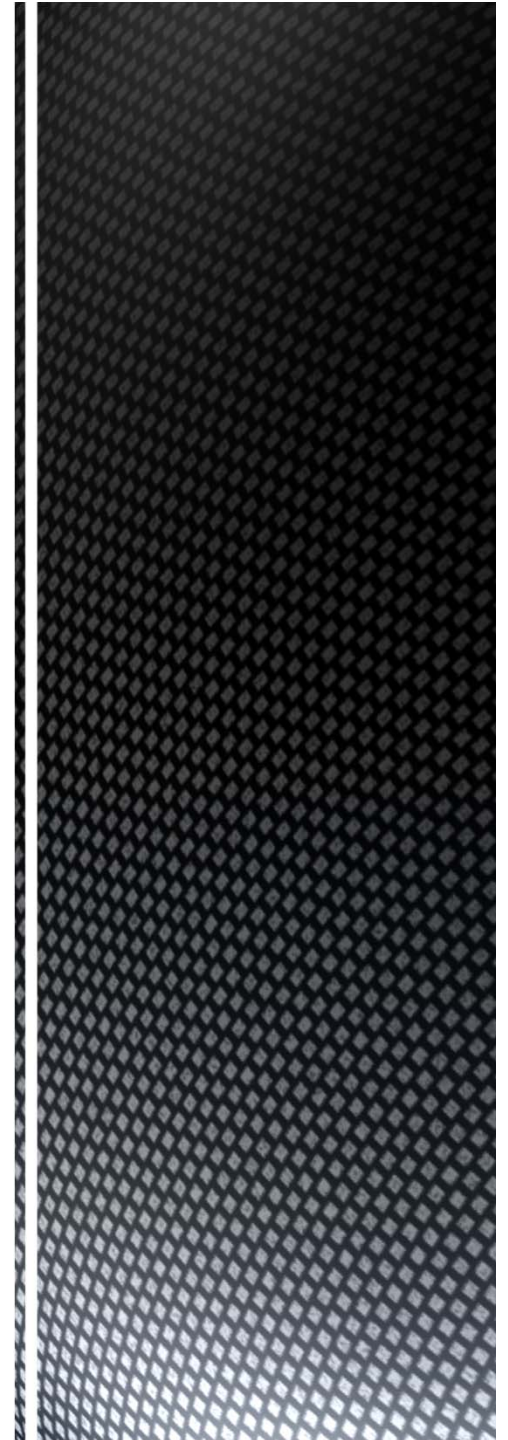
- **Week 3**
- **Tutor Sessions - No Show/No Reschedule**
 - Tutors get paid for an hour when a student does not show
 - Student is not permitted to reschedule appointment when he/she does not show
- **Proctoring of Exams/Quizzes**
 - Reminder that coaches cannot proctor exams/quizzes/assignments
 - HAC staff can proctor before or after trip
 - HAC staff can locate proctor at competition site
 - Students should work with Academic Coordinator and Instructor

Kris' Announcements

- **Priority Registration for Spring 2014 Classes**
 - November 4
 - Need spring practice times by October 4
 - Registration Holds

- **Admissions Publications**
 - Viewbook – Seniors
 - Travel Piece – Sophomores/Juniors
 - Prospect Cards

How to Report a Violation



How do I Report a Violation?

- “CU-Boulder” must diligently monitor the conduct of its intercollegiate athletics program to promote compliance with all applicable rules and regulations of the NCAA.
- CU-Boulder follows an established reporting procedure for reviewing and reporting potential rules violations. In addition to this established reporting procedure, CU-Boulder has developed a clearly-defined process to manage situations with major implications involving campus intercollegiate athletics, including NCAA Level I or II infractions and other matters of significance.
- The process for managing NCAA violations includes an option to the Chancellor, of utilizing a committee, external to the Department of Athletics to manage the investigation of situations with major campus implications and to advise directly to him/her. That committee is Dr. David Clough (FAR), John Sleeman, Deb Coffin, Francis Draper & depending on the issue, others as appointed by the Chancellor.

How do I Report a Violation?

- A **Level III or Level IV violation**, is one that **provides only a limited recruiting or competitive advantage and is isolated or inadvertent in nature**. If the NCAA Committee on Infractions determines that repeated Level III or IV violations have occurred and that CU is not taking appropriate action to prevent such violations, a penalty appropriate for a Level I or II violation may be imposed.
- Compliance efforts are continuous, and, although the ultimate goal is to have no violations, a compliance program that encourages self-reporting and conducts monitoring activities *should* report several violations (we reported ~20 this past year) per year.

What is a Significant or Severe Breach of Conduct?

- A Significant or Severe Breach of Conduct are violations that provides an **extensive** recruiting or competitive advantage. Repeated Level III or IV violations by CU may be raised and identified as a these Level I or II (formerly major) violation.
- In the history of intercollegiate athletics at CU-Boulder, the University has managed **five major** infractions cases.
- Last major reported in June of 2007—we are no longer under the 5-year shadow of that violation, but must remain diligent in our compliance efforts.

Initial Reporting of Potential Level III and Level IV Violations

- All athletic department staff members, SAs, or CU employees with ***direct involvement*** in athletics **are obligated** to report verbally or in writing any violations or possible violations of which they are aware.
- All other individuals (e.g., CU students, PSAs, CU employees ***without direct involvement*** in athletics, members of the community) **are encouraged** to report verbally or in writing any alleged, rumored, or suspected violations.

Initial Reporting of Potential Level III and Level IV Violations

A report of a violation or possible violation can be made to the following:

- if appropriate, the immediate supervisor of the person reporting;
- the Associate Director of Athletics for Compliance ("Compliance Director");
- the Director of Athletics, any Associate or Assistant Director of Athletics;
- the Faculty Athletics Representative ("FAR");
- the Chancellor;
- the Office of University Counsel.
- All individuals to whom the violation or possible violation is reported, with the exception of the Compliance Director, are obligated in turn to report the violation to the Athletics Compliance Office.

Initial Reporting of Potential Level III and Level IV Violations

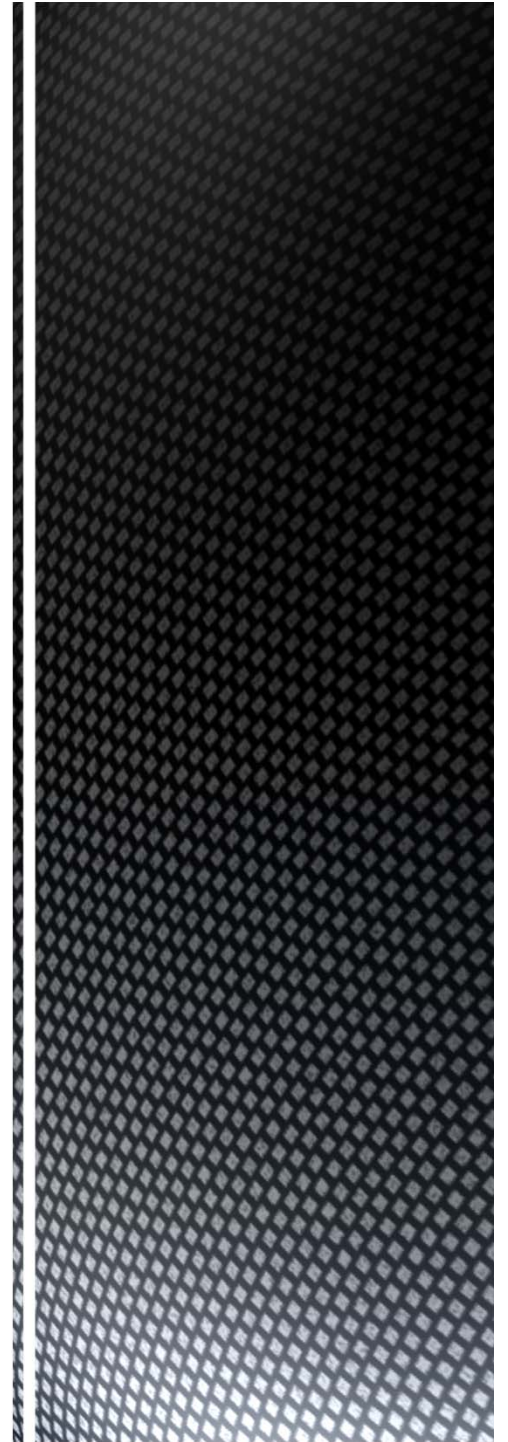
A report of a violation or possible violation should include the following:

- date(s) and time(s) of violation;
- individual(s) involved in the violation;
- description of the violation;
- reason(s) the violation occurred, and
- if applicable, a statement of actions which have been or will be taken in order to prevent a similar violation from recurring

Things to Keep in Mind During an Investigation.....

- Often, because of the nature of the investigation, the DOC cannot inform you, as a coach, that an investigation is being conducted.
- When being interviewed, you will almost always be tape recorded and you will be asked to review/sign-off on NCAA Bylaw 10.1.
- When you are interviewed by the investigators, you will be admonished to not share any information from the interview with anyone else on your staff.
- You and your program WILL make mistakes and we will report-that's something you will have to accept.

Personnel



Managers

Performs traditional managerial duties (e.g., equipment, laundry, hydration) and meets the following additional criteria:

1. Full-time undergraduate or graduate student.
2. May participate in limited on-court or on-field activities during practice (e.g., assist with drills, throw batting practice) or competition (e.g., assist with warm-up activities) involving student-athletes on a regular basis.
3. Shall not provide instruction to student-athletes.
4. Shall not participate in countable athletically related activities (e.g., practice player) except as permitted above in #2.

Volunteers

Any coach who does not receive compensation or remuneration from the institution's athletics department or any organization funded in whole or in part by the athletics department or that is involved primarily in the promotion of the institution's athletics program (e.g., booster club, athletics foundation association).



Volunteers

Volunteers CAN:

- Be paid for working CU's camps/clinics.
- Receive team apparel that is incidental to coaching activities.
- Receive travel expenses related to team travel to away competitions.
- Receive parking expenses in conjunction with practice and competition.

Volunteers

Volunteers CAN:

- Receive two complimentary admissions in their sport. Cannot receive complimentary admissions in any other CU sport.
- Receive meals incidental to team activities.
- Receive meals in conjunction with a PSA's official visit, provided they dine with the PSA. Must pay for their own unofficial visit meals.

Volunteers

Volunteers: In sports other than football and basketball a member institution may use the services of **one** volunteer coach.

- Indoor track and field, outdoor track and field, and cross country are separate sports for purposes of this provision (7 total for track, including a Pole Vault volunteer).
- In sports in which the NCAA conducts separate men's and women's championships, a combined men's and women's program may use two volunteer coaches (4 total for ski).

Outside Consultants

- An institution may use or arrange for a temporary consultant to provide in-service training for the coaching staff, but no interaction with student-athletes is permitted unless the individual is counted against the applicable coaching limits. An outside consultant may not be involved in any on- or off-field or on- or off-court coaching activities (e.g., attending practices and meetings involving coaching activities, formulating game plans, analyzing video involving the institution's or opponent's team) without counting the consultant in the coaching limitations in that sport.

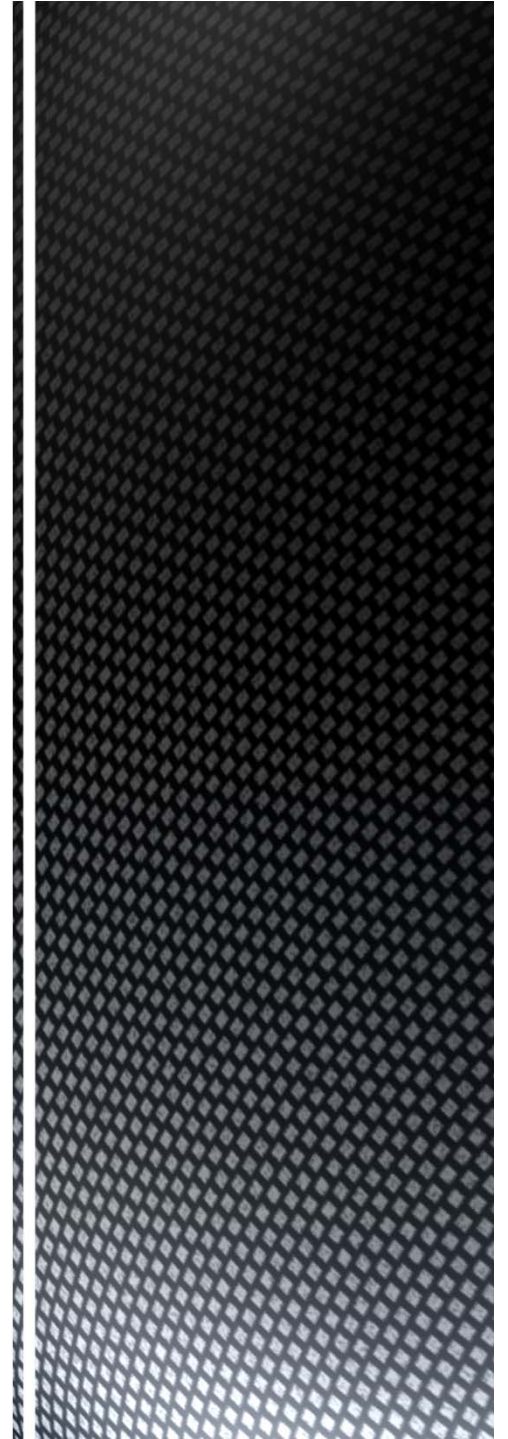
Outside Consultants

- If the outside consultant is a sport psychologist, they may attend practice sessions to observe and then work on 'mental imagery' with SAs. Still could not attend coaching meetings. If the SA is required to meet with the sport psychologist regarding mental imagery, it must be considered CARA.
- Anyone wanting to attend practice to then consult with coaches would have to be an actual staff member, not an outside consultant.

Outside Consultants

- If a student-athlete is looking for specific help from an outside consultant, the student-athlete must pay the going rate for that outside consultant's time.
- They cannot use CU's facilities for these activities.
- CU coaches cannot observe these activities without it being considered CARA.

Official Visits



Basic Guidelines

- **Requirements for an official visit:**
 - Turn PSA card into Dee.
 - With PSA card include transcripts and test score.
 - PSA must be registered with the NCAA Eligibility Center and be on CU's IRL.
 - Turn the following forms into Jo:
 - Official Visit Checklist
 - Student Host Form
 - Detailed Itinerary

Basic Guidelines

- **Cannot take an official visit until the first day of classes their senior year.**
- **Cannot take more than 1 official visit to any institution, with no more than 5 total official visits.**
 - **Multi-sport athletes are limited to a total of 5 visits!**
- **48-hour time limit on official visits**
 - **Begins when the PSA arrives on campus.**
 - **Begins when a CU coach picks up the PSA from their home, or**
 - **Begins when you have a 'sit down' meal on the way back to CU from DIA.**
 - **Be aware of the 30-mile radius!**

Transportation

- **CU can provide transportation expenses for only the prospect.**
- **Mileage can be reimbursed for the round trip.**
 - If riding in car with HS or club coach, mileage cannot be reimbursed.
- **CU Cannot pay car rental fees or insurance.**

Transportation

- **A CU coach can pick up a prospect from their home, but the 48-hour time period starts immediately.**
- **Transportation must be standard transportation (coach's car, commercial flight). No extended leg room, upgrades, etc.**
- **CU can pay bag fees associated with travel.**

Meals

- **CU can provide 3 meals per day during an official visit, as well as a snack.**
- **CU can provide a meal while the PSA is in transit to CU.**
 - **Pick PSA up from airport and go through 'drive through' –48 hours does not start.**
 - **Pick PSA up from airport and have a 'sit down' meal -48 hours has started.**
- **Meals can be provided to a PSA and their parents/guardians. No siblings, aunts, uncles, grandmother, grandfather, coaches, etc.**

Meals

- **CU's \$50 per meal limit is still in effect.**
- **Impermissible Restaurants:**
 - Brasserie Ten Ten
 - Flagstaff House
 - Greenbriar Inn
 - John Elway's Restaurant*
 - L'Absinthe Restaurant
 - L'Atelier
 - Q's in the Hotel Boulderado
 - Red Lion Inn

**Set Menus are potentially possible—check with compliance.

Lodging

- **Lodging must be standard rooms. No mountain views at the St. Julian, no jacuzzi suites, etc.**
- **CU can pay for a prospect's room as well as the parent/legal guardian's room. Cannot pay additional fees for siblings or other family members to stay.**
- **No decorating or altering the rooms for the prospect.**

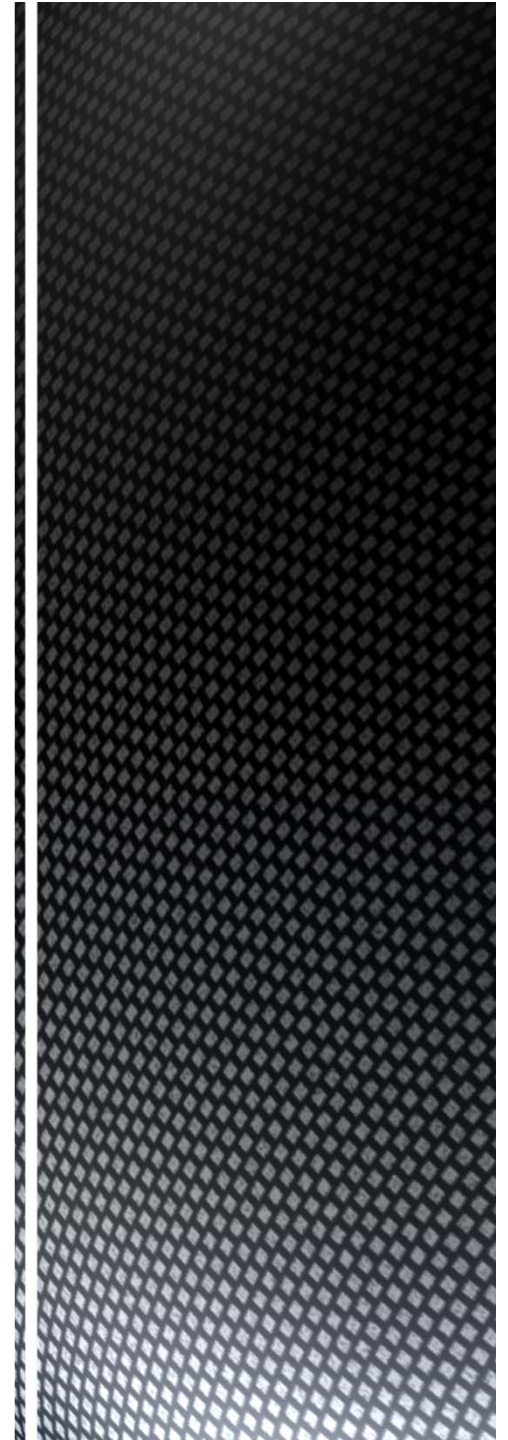
Entertainment/Hosts

- **SA Hosts can get up to \$40/day (max \$80)**
 - Can receive an additional \$20/day for additional prospects.
- **All entertainment costs must come out of the host money. PSAs cannot supplement the host money with their own money.**
- **Working with NCAA/Pac-12 in regards to SA entertainment costs vs. host money...will keep you posted!**

Publicity

- **CU cannot publicize a recruits visit to campus.**
- **No tweeting, posting photos, etc. by coaches or student-athletes.**
- **PSA can post all they'd like, but CU coaches should not encourage this.**
- **The “Parade of Recruits” is not publicized so it is permissible for PSAs to participate**

Unattached Competition



Unattached Competition

- In individual sports, a student-athlete can compete in unattached competition, provided it is approved by the compliance office prior to their participation.
- SA cannot compete in a CU uniform and must be identified as 'unattached' or an 'individual'.
- CU coaches cannot provide any coaching for this SA during the competition.
- CU cannot provide any expenses for the SA in conjunction with this competition.
 - Transportation
 - Meals
 - Entry Fee
 - Lodging
 - Training Services (unless it is being provided by the sponsor of the event)

Questions??

GO BUFFS!

